

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-17 11:42:13
2. **Agency:** 009
3. **Bureau:** 33
4. **Name of this Investment:** AHRQ Medical Expenditures Panel Survey (MEPS)
5. **Unique Project (Investment) Identifier:** 009-33-01-02-01-0011-00
6. **What kind of investment will this be in FY 2011?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB? ***
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The Medical Expenditure Panel Survey (MEPS) is the major Federal source of high-quality data for estimates of healthcare use, expenditures, types of medical services used, healthcare quality and sources of payment for medical care. MEPS, a unique, central, consolidated database is mandated by Title IX of the Public Health Service Act and its 1999 amendment (P.L.106-129). MEPS provides Federal and other governmental policymakers, researchers, healthcare administrators, businesses, and the public with timely, comprehensive information to evaluate health reform policies, the effect of tax code changes on health expenditures and tax revenue, and proposed changes in government health programs such as Medicare. MEPS, the most recent in a series of medical expenditure surveys, has three IT components: 1) survey; 2) data center and analytic capability and 3) a website. All three components are steady state operations. MEPS helps achieve three AHRQ Strategic Goals: (1) Improve healthcare safety and quality for All Americans – by providing essential data for the National Healthcare Quality Report and the National Healthcare Disparities Report; (2) Achieve wider access to effective healthcare services and reduce healthcare costs – by furnishing vital data on health insurance usage, coverage and cost; and (3) Assure that providers and consumers use beneficial and timely healthcare data to make decisions –by providing timely, accurate information for healthcare purchasers and governmental and business policymakers. MEPS directly supports PMA Initiative, Expanded Electronic Government, by providing extensive, current and comprehensive data to the public, researchers, and policy makers (i.e., government-to-citizen; government-to-business). MEPS was initially approved through the AHRQ CPIC and budget review process and subsequently approved by the HHS ITIRB.

 - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? ***
 - a. **If "yes," what was the date of this approval? ***
10. **Contact information of Program/Project Manager?**

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
290-02-0005	CPFF: Cost Plus Fixed Fee	Y	2002-07-01	2002-07-01	2010-09-30	\$160.2	*	*	*	*	*
290-08-10004	CPIF: Cost Plus Incentive Fee	Y	2008-04-30	2008-04-30	2012-10-31	\$45.8	*	*	*	*	*
290-08-10009	CPIF: Cost Plus Incentive Fee	Y	2008-07-16	2008-07-16	2012-11-15	\$17.7	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2005	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	establish meps data center for user statistics	none	new service and support area; establish baseline	baseline established
2005	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	meps use and demographic data available sooner after data collected	12 months	1 month improvement	met target - 11 months
2005	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	maintain steady state for time between data collection and data availability in all file and table categories	various baselines	maintain steady state	maintain steady state
2005	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	increase the number of topical tables included.	none	1 topical area added access	1 topical area added access
2006	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	maintain steady state # of meps data center projects	maintain established baseline of 31 projects	maintain number of data center projects	maintain baseline
2006	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	meps expenditure data available sooner after data collection	12 months	maintain steady state	maintain steady state - data is available after 12 months of end of data collection
2006	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	maintain steady state	various baselines	maintain steady state	maintain steady state
2006	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	eliminate dependency on dos systems	conversion to windows	produce functional windows instrument	windows instrument fielded 2/07
2007	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	increase number of meps data center projects	to be determined from 2006	increase number of data center projects	14 user/projects last year; 23 this year
2007	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	insurance component tables will be available within 6 months of collections	6 months	maintain baseline of 6 months collection	data available 6 months after collection
2007	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	maintain steady state	various baselines	maintain current delivery schedule through technology	all dates met

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
						transition	
2007	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	eliminate dependency on dos systems	windows instrument established	windows instrument successfully fielded and data collected in windows environment.	transition on schedule
2008	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	increase the number of meps data center projects	to be determined from 2007	increase number of data center projects	27 data center projects
2008	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	insurance component tables will be available within 6 months of collections	6 months	maintain baseline of 6 months collection	data available 6 months after collection
2008	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	maintain steady state	various baselines	maintain current delivery schedule through technology transition	all dates met
2008	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	eliminate dependency on dos systems	data successfully collected in windows	data files produced from windows based data collection.	transition on schedule
2009	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	increase the number of meps data center projects by 5% over prior year	to be determined from 2008	increase number of data center projects	performance goal met
2009	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	insurance component tables will be available within 6 months of collections	6 months	maintain baseline of 6 months collection	performance goal met
2009	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	100% of all laptops used to collect survey data will have the windows operating system. (technology)	data successfully collected in windows	data files produced from windows based data collection.	performance goal met
2009	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	decrease the time between collection and availability of use and demographic data by at least 1 month.	12 months	1 month improvement	performance goal met
2009	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	increase the number of state level topical tables by at least one (1).	1 table	additional state level tables to be added	performance goal met

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	full year expenditure data will be available within 12 months of end of data collection	12 months	have data available at the end of the 11th month	performance goal met
2009	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	decrease the average number of field staff hours required to collect data per respondent household for meps.	14.2 hr baseline	13 hours	performance goal met
2010	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	increase the number of meps data center projects by 5% over prior year	41	increase number of data center projects	tbd
2010	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	insurance component tables will be available within 6 months of collections	6 months	maintain baseline of 6 months collection	tbd
2010	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	decrease the time between collection and availability of use and demographic data by at least 1 month.	11 months	1/2 a month improvement	tbd
2010	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	increase the number of state level topical tables by at least one (1).	1 table	additional state level tables to be added	tbd
2010	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	full year expenditure data will be available within 12 months of end of data collection	11 months	have data available by the third week of the 11th month.	tbd
2010	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	decrease the average number of field staff hours required to collect data per respondent household for meps.	fy09 target 13 hours	12.8 hours	tbd
2011	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	increase the number of meps data center projects by 5% over prior year	to be determined from 2010	increase number of data center projects	tbd
2011	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	insurance component tables will be available within	6 months	maintain baseline of 6 months collection	tbd

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	value			6 months of collections			
2011	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	decrease the time between collection and availability of use and demographic data by at least 1 month.	to be determined by 2010 data	1/2 a month improvement	tbd
2011	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	increase the number of state level topical tables by at least one (1).	1 table	additional state level tables to be added	tbd
2011	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	full year expenditure data will be available within 12 months of end of data collection	to be determined by 2010 data.	1 week improvement	tbd
2011	S.O. 1.3 - Improve health care quality, safety, cost and value	*	*	decrease the average number of field staff hours required to collect data per respondent household for meps.	fy10 target 12.8 hours	12.6 hours	tbd

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Design, Usability and Reporting Phase (All Rounds)	\$1.8	\$1.8	2004-09-01	2004-10-01	2010-12-30	2008-10-31	100.00%	100.00%
FY06 O&M	\$21.3	\$21.3	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
Complete Group 1 Specification Tasks	\$0.3	\$0.3	2004-10-01	2004-10-01	2005-08-22	2005-08-22	100.00%	100.00%
Complete Group 2 Specification Tasks	\$0.2	\$0.2	2005-03-31	2005-03-31	2005-11-07	2005-11-07	100.00%	100.00%
Complete Group 3 Specification Tasks	\$0.1	\$0.1	2005-05-02	2005-05-02	2006-01-11	2006-01-11	100.00%	100.00%
Complete Group 4 Specification Tasks	\$0.2	\$0.2	2005-05-16	2005-05-16	2006-02-07	2006-02-07	100.00%	100.00%
Complete Group 5 Specification Tasks	\$0.1	\$0.1	2005-09-01	2005-09-01	2006-02-28	2006-02-28	100.00%	100.00%
FY07 O&M	\$20.6	\$21.0	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Complete Round 1 CAPI Instrument Alpha Phase (Groups 1 – 5)	\$1.1	\$1.2	2005-02-07	2005-02-07	2006-01-28	2006-01-28	100.00%	100.00%
Complete Round 1 CAPI Instrument Beta Phase	\$0.7	\$0.7	2005-04-21	2005-04-21	2006-02-28	2006-06-30	100.00%	100.00%
Complete Round 1 CAPI Instrument Field Test Phase	\$0.5	\$0.4	2006-02-28	2006-02-28	2006-08-05	2006-07-30	100.00%	100.00%
Complete Round 1 CAPI Instrument Main Study Phase	\$0.4	\$0.4	2006-08-05	2006-08-07	2006-12-30	2006-11-30	100.00%	100.00%
Complete Round 1 Basic Field Operations System (BFOS) Field Study	\$0.4	\$0.3	2005-01-15	2005-01-15	2006-05-01	2006-08-01	100.00%	100.00%
Complete	\$0.1	\$0.1	2006-07-01	2006-07-03	2006-12-30	2006-12-29	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Round 1 Basic Field Operations System (BFOS) Main Study								
Complete Round 1 Home Office Processing (HOP) Field Test	\$0.7	\$0.7	2005-04-15	2005-04-15	2006-05-01	2006-06-15	100.00%	100.00%
Complete Round 1 Home Office Processing (HOP) Main Study	\$0.3	\$0.2	2006-07-01	2006-07-03	2006-12-30	2006-12-29	100.00%	100.00%
FY08 O&M	\$21.1	\$21.1	2007-10-01	2007-10-01	2008-09-30	2008-10-31	100.00%	100.00%
Complete Round 2 CAPI Instrument Alpha Phase (Groups 1 – 5)	\$0.2	\$0.2	2006-01-15	2006-01-15	2006-06-01	2006-10-31	100.00%	100.00%
Complete Round 2 CAPI Instrument Beta Phase	\$0.0	\$0.0	2006-06-01	2006-06-01	2006-07-01	2006-10-31	100.00%	100.00%
Complete Round 2 CAPI Instrument Field Test Phase	\$0.1	\$0.1	2006-07-01	2006-11-01	2006-09-01	2007-03-31	100.00%	100.00%
Complete Round 2 CAPI Instrument Main Study Phase	\$0.4	\$0.5	2006-10-01	2006-11-01	2007-08-01	2007-08-01	100.00%	100.00%
Complete Round 2 Basic Field Operations System (BFOS) Field Study	\$0.1	\$0.1	2006-07-01	2006-11-03	2006-09-01	2007-03-01	100.00%	100.00%
Complete Round 2 Basic Field Operations System (BFOS) Main Study	\$0.4	\$0.3	2006-10-01	2006-10-01	2007-08-01	2007-05-15	100.00%	100.00%
Complete Round 2 Home Office Processing (HOP) Field Test	\$0.1	\$0.1	2006-07-01	2007-01-02	2006-10-01	2007-05-31	100.00%	100.00%
Complete Round 2 Home Office Processing	\$0.5	\$0.5	2006-10-01	2007-03-01	2007-11-01	2007-10-31	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
(HOP) Main Study								
FY09 O&M	\$21.6	\$19.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Complete Round 3 CAPI Instrument Alpha Phase (Groups 1 – 5)	\$0.1	\$0.1	2006-10-15	2007-01-02	2006-12-15	2007-06-30	100.00%	100.00%
Complete Round 3 CAPI Instrument Beta Phase	\$0.1	\$0.1	2006-12-15	2007-03-01	2007-02-01	2007-06-30	100.00%	100.00%
Complete Round 3 CAPI Instrument Field Test Phase	\$0.1	\$0.1	2007-02-01	2007-05-01	2007-04-01	2007-06-30	100.00%	100.00%
Complete Round 3 CAPI Instrument Main Study Phase	\$0.3	\$0.3	2007-06-10	2007-08-01	2008-01-10	2007-12-31	100.00%	100.00%
Complete Round 3 Basic Field Operations System (BFOS) Field Study	\$0.1	\$0.1	2007-02-01	2007-05-01	2007-04-01	2007-08-01	100.00%	100.00%
Complete Round 3 Basic Field Operations System (BFOS) Main Study	\$0.3	\$0.3	2007-06-10	2007-06-01	2008-01-10	2007-11-30	100.00%	100.00%
Complete Round 3 Home Office Processing (HOP) Field Test	\$0.2	\$0.2	2007-02-01	2007-02-01	2007-06-01	2007-08-01	100.00%	100.00%
Complete Round 3 Home Office Processing (HOP) Main Study	\$0.4	\$0.4	2007-06-01	2007-08-01	2008-04-10	2007-12-31	100.00%	100.00%
Complete Round 4 CAPI Instrument Alpha Phase (Groups 1 – 5)	\$0.1	\$0.1	2007-03-01	2007-08-01	2007-06-01	2007-12-31	100.00%	100.00%
Complete Round 4 CAPI Instrument Beta Phase	\$0.0	\$0.0	2007-06-01	2007-08-01	2007-07-01	2008-01-31	100.00%	100.00%
Complete Round 4 CAPI Instrument Field Test	\$0.0	\$0.0	2007-07-01	2007-08-01	2007-09-01	2007-12-31	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Phase								
Complete Round 4 CAPI Instrument Main Study Phase	\$0.1	\$0.0	2008-01-15	2008-01-15	2008-07-01	2008-05-30	100.00%	100.00%
Complete Round 4 Basic Field Operations System (BFOS) Field Study	\$0.0	\$0.0	2007-07-01	2007-08-01	2007-09-01	2007-10-31	100.00%	100.00%
Complete Round 4 Basic Field Operations System (BFOS) Main Study	\$0.1	\$0.1	2008-01-15	2008-01-15	2008-07-01	2008-04-01	100.00%	100.00%
Complete Round 4 Home Office Processing (HOP) Field Test	\$0.1	\$0.1	2007-07-01	2007-12-01	2007-12-01	2008-01-15	100.00%	100.00%
Complete Round 4 Home Office Processing (HOP) Main Study	\$0.2	\$0.1	2007-12-01	2008-03-01	2008-10-01	2008-07-01	100.00%	100.00%
Complete Round 5 CAPI Instrument Alpha Phase (Groups 1 – 5)	\$0.1	\$0.1	2007-06-15	2008-02-01	2009-04-15	2008-02-28	100.00%	100.00%
Complete Round 5 CAPI Instrument Beta Phase	\$0.0	\$0.0	2007-12-15	2008-03-01	2008-02-01	2008-03-31	100.00%	100.00%
Complete Round 5 CAPI Instrument Field Test Phase	\$0.0	\$0.0	2008-02-01	2008-03-30	2008-04-01	2008-04-30	100.00%	100.00%
Complete Round 5 CAPI Instrument Main Study Phase	\$0.1	\$0.1	2008-07-15	2008-07-01	2009-01-15	2008-07-31	100.00%	100.00%
Complete Round 5 Basic Field Operations System (BFOS) Field Study	\$0.0	\$0.0	2008-02-01	2008-03-01	2008-04-01	2008-05-01	100.00%	100.00%
Complete Round 5 Basic Field Operations	\$0.1	\$0.0	2008-07-15	2008-07-01	2009-01-15	2008-08-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
System (BFOS) Main Study								
Complete Round 5 Home Office Processing (HOP) Field Test	\$0.1	\$0.1	2008-02-01	2008-05-01	2008-06-01	2008-05-20	100.00%	100.00%
Complete Round 5 Home Office Processing (HOP) Main Study	\$0.1	\$0.1	2008-06-01	2008-06-01	2009-04-15	2008-08-30	100.00%	100.00%
FY10 O&M	\$22.1	\$18.4	2009-10-01	2009-10-01	2010-09-30		83.33%	83.00%
FY11 O&M	*	*	2010-10-04		2011-09-30		0.00%	0.00%
FY12 O&M	*	*	2011-10-01		2012-11-15		0.00%	0.00%

* - Indicates data is redacted.